



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tina Ting Ye et al.

Serial No.: 09/945,225

Examiner: M. DeSanto

Filed: August 31, 2002

Group Art Unit: 3763

For: MICROCATHERETER WITH IMPROVED DISTAL TIP AND TRANSITIONS

Docket No.: 1001.1471102

Assistant Commissioner for Patents  
Washington, D.C. 20231

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**INFORMATION DISCLOSURE STATEMENT  
BEFORE  
MAILING DATE OF EITHER A FINAL ACTION OR NOTICE  
OF ALLOWANCE (37 CFR 1.97(c))**

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 26th day of September 2002.

By *David M. Crompton*  
David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of any claim of the present case.

The information disclosure statement transmitted herewith is being filed after three months of

the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under Section 1.113 or a notice of allowance under Section 1.311, whichever occurs first.

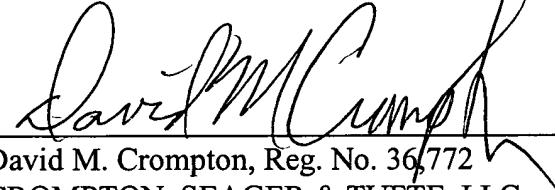
Accompanying this transmittal are fees in the amount of \$180.

Respectfully submitted,

Ting Tina Ye et al.

By their attorney,

Dated: 9/24/02

  
David M. Crompton, Reg. No. 36772  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, MN 55401-2246  
Telephone: (612) 677-9050  
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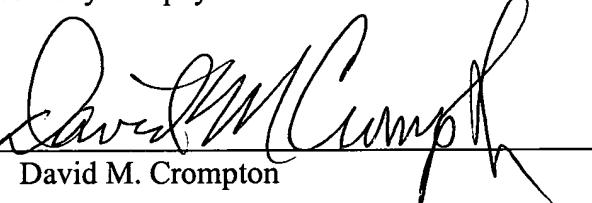
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[ ] A check in the amount of \$\_\_\_\_\_ is enclosed. Itemization:  
Fee Code \_\_\_\_\_ \$  
Fee Code \_\_\_\_\_ \$

[ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.

[ XX ] Other: INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF ALLOWANCE (37 CFR. 1.97(c)), FORM PTO-1449 AND CITED REFERENCES.

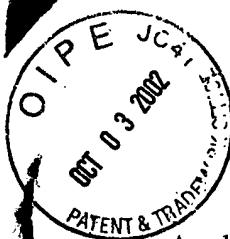
[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
\_\_\_\_\_  
David M. Crompton

Reg. No. 36,772

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Applicant: Tina Ting Ye et al.

Serial No.: 09/945,225

Examiner: M. DeSanto

Filed: August 31, 2002

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For: MICROCATHERETER WITH IMPROVED DISTAL TIP AND TRANSITIONS

Docket No.: 1001.1471102

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

*HP/3763*  
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By *David M. Crompton*

David M. Crompton

We are transmitting herewith the attached:

Amendment

No additional fee required  
 The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	